General privacy policy of CIC CAPITAL (Switzerland) Ltd

Status: 16.02.2026

1. What is this privacy policy about?

CIC CAPITAL (Switzerland) Ltd, Löwenstrasse 49, 8001 Zurich, Switzerland (hereinafter also referred to as "**CIC**" or "**we**") processes personal data relating to you or other individuals, in particular our partners, suppliers, job applicants, and newsletter subscribers, in different ways and for different purposes.

> **Important:** For some of our processing activities, this general privacy policy does not apply; instead, other specific privacy policies or declarations apply:
>
> - With regard to our processing of personal data in the context of our investment and financing activities, please refer to the privacy policy of CIC CAPITAL (Switzerland) Ltd relating to its investment and financing activities;
>
> - With regard to our processing of personal data in the context of an employment relationship with you, please refer to the privacy policy for employees of CIC CAPITAL (Switzerland) Ltd.
>
> For these specific activities, please refer to the specific personal data policies mentioned above.

The term "**personal data**" refers to any information relating to an identified or identifiable natural person, and "**processing**" refers to any operation relating to personal data, such as collection, recording, storage, use, modification, communication, deletion, destruction, etc.

Unless a dedicated and specific privacy policy or declaration applies to a specific activity (see above), this privacy policy explains our processing of personal data when:

— You use our services;

— You are otherwise associated with us by contract;

— You contact us by email, via a contact form, etc.

— You subscribe to our newsletter;

— You apply for a job with us;

— You participate in one of our events or provide us with your data so that we can inform you about other events;

— You deal with us in the context of all other data processing related to our offers.

This privacy policy is provided for information purposes only and does not constitute a contractual document, even if a contract with you refers to it.

Please take the time to read this privacy policy to find out how and why CIC processes your personal data, how CIC protects your personal data, and what rights you have in this context.

If you have any questions or would like further information about our processing of personal data, please do not hesitate to contact us (see section 2 below).

We have aligned this privacy policy with the Swiss Federal Act on Data Protection (FADP) and the European General Data Protection Regulation (GDPR). However, whether and to what extent the GDPR is applicable at all depends on the individual case.

2. Who is responsible for processing your personal data?

For the data processing carried out in accordance with this privacy policy, the following company is the "controller," i.e., the party responsible under the FADP and the GDPR, unless otherwise communicated in specific cases (e.g., in further privacy policies, notices, and/or declarations, on forms, or in contracts):

CIC CAPITAL (Switzerland) Ltd
Löwenstrasse 49
8001 Zurich
Switzerland

If you have any questions about our processing of your personal data, please do not hesitate to contact us:

in writing:

CIC CAPITAL (Switzerland) Ltd
Attn: data protection
Löwenstrasse 49
8001 Zurich
Switzerland

or

by email:

privacy@cm-equity.ch

3. What personal data do we process?

We process the following categories of personal data, including but not limited to:

— **Basic data**: This is basic information used to manage our business relationships or the job application and recruitment process, and which relates directly to you or your characteristics.

— **Career history, qualifications and training** (for job application and recruitment processes): This is information about your career history, qualifications, and training. We receive this data before, during, and after the job application and recruitment process.

— **Contractual data**: Contractual data is information relating to the conclusion or performance of a contract, such as information relating to contracts and services to be provided or already provided, as well as information prior to the conclusion of a contract. This also includes information relating to the conclusion of the contract, as well as data necessary or used for its performance.

— **Communication data**: Communication data is information related to our communication, for example when you contact us via a contact form or other means of communication.

— **Marketing data**: We also process information related to marketing.

— **Consent data**: To the extent that other personal data is processed with your separate consent, we process the relevant consent data.

— **Compliance data**: We receive and process information to comply with legal requirements. To this end, we collect information, including but not limited to information from relevant databases and publicly available sources, as well as from other third parties and/or yourself. This personal data may also include sensitive personal data.

— **Other data**: We may also collect and process data about you in other situations. In the context of official or judicial proceedings, for example, data (such as files, evidence, etc.) is collected that may also concern you. We may also obtain or produce photographs in which you can be identified (e.g., at events) or videos (e.g., from security cameras). We may also collect data on people who enter certain buildings and when, or who have the corresponding access rights (including in the context of access controls, based on registration data or visitor lists, etc.), on people who participate in events, and on people who use our infrastructure and systems and when.

We process most personal data only if you voluntarily provide it to us (for example, through forms, when you communicate with us, in the context of contracts). However, we must process certain personal data in the course of our business activities, for example, if you wish to enter into contracts with us or request services, in particular basic data and contractual data and, where applicable, compliance data.

If you provide us with personal data concerning other persons, such as members of your family, your employees, your coworkers, members of your governing bodies/management, contact persons, we understand that you confirm that this data is correct and that you are authorized to disclose it to us. Please ensure that these third parties are informed of our processing of their personal data by providing them with a copy of this privacy policy.

4. On what basis do we process personal data?

Insofar as applicable law requires a legal basis for our processing, we process personal data

for the purposes mentioned below in section 5 on the basis of one or more of the following legal grounds. If the GDPR applies, the relevant provisions can be found in Articles 6 and 9 of the GDPR.

— processing is necessary for the performance of a contract or for the implementation of pre-contractual measures;

— processing is necessary for compliance with a legal obligation;

— processing is based on consent; and/or

— processing is necessary for the purposes of legitimate interests, for example, the preparation, organization, execution, control, and monitoring of our commercial activities; the participation in legal proceedings and, in general, the establishment, exercise, or defense of legal rights; our economic activity; the management of the company; the sale or purchase of businesses, companies or parts of companies and other transactions relating to company law and/or financing; the improvement of our services; ensuring the security of our facilities; responding to your requests efficiently; etc.

5. For what purposes do we process personal data?

We use the personal data we collect primarily to collaborate with you. If you have subscribed to our newsletter, we use your email address to send it to you. In addition, we also process your personal data, to the extent permitted and deemed appropriate, for other purposes for which we (and sometimes third parties) have a legitimate interest corresponding to the purpose.

That said, we process personal data for many purposes, including but not limited to:

— **Communication with you**

— **Contractual purposes**

— **Marketing**.

— **Job application and recruitment process**

— **Improving our services and developing our products**

— **Documentation**

— **Statistical evaluations**

— **Administration and support**

— **Compliance, investigations, legal proceedings, and protection of our rights**

— **Ensuring the IT security of the building and facilities and for prevention purposes**

— **Planning, control, and execution of transactions under corporate law**

— **Other purposes**: We may also process personal data for other purposes. These include business management, including business organization and development, other internal processes, training and educational purposes, as well as measures for business management and the protection of other legitimate interests.

6. To whom do we disclose your personal data?

We may disclose personal data for the purposes described above in section 5 to recipients belonging to the following categories:

— **Companies within the Crédit Mutuel Alliance Fédérale group**, to the extent necessary and/or useful for the performance of our activities; including the internal administration of the group or to support group companies and their own processing objectives, for example for the personalization of marketing activities or the development and improvement of services, compliance, the administration of recruitment activities at group level, the centralized administration of human resources and information technology, and/or investigations at/concerning group level, etc.

— **Partners** (such as contractual partners).

— **Service providers and consulting firms** (such as providers of IT services, personnel administration services, maintenance and support services, lawyers, notaries, etc.).

— **Authorities, official bodies and services and/or other parties to legal proceedings** (such as courts, law enforcement authorities, including criminal and/or administrative prosecution authorities, commercial intelligence agencies, providers of sanctions databases, responding to requests for information from competent authorities or participating in investigations and proceedings, counterparties and other parties such as experts and witnesses in proceedings).

— **Parties interested in acquiring businesses, companies, assets, or other transactions under corporate law**.

— **Other persons** (these are other cases where the involvement of third parties stems from the purposes mentioned in section 5, including for their own needs).

7. Is personal data also disclosed abroad?

We process personal data primarily in Switzerland and the European Economic Area (EEA), but depending on the circumstances — for example, through service providers or in the event of judicial proceedings abroad — potentially in any country worldwide.

If a recipient of personal data (see section 6 above) is located in a country that does not have adequate personal data protection, we contractually oblige the recipient to comply with a sufficient level of personal data protection (we use the revised standard contractual clauses of the European Commission for this purpose, which can be found at https://eur-lex.europa.eu/legal-content/EN/TXT/HTML/?uri=CELEX%3A32021D0914, with the necessary adaptations for Switzerland where applicable), insofar as the recipient is not already subject to

a set of rules recognized by law to ensure the protection of personal data.

In certain cases, we may also disclose personal data to a recipient in a country that does not have adequate personal data protection, without entering into a specific contract, if an exception provided for by law applies; if you have consented to the disclosure; if the disclosure is directly related to the conclusion or performance of a contract between you and us or between us and our co-contractor but in your interest; if the disclosure is necessary for the establishment, exercise, or defense of rights before a court or other competent foreign authority; if the disclosure is necessary for overriding public interests or for the protection of the life or physical integrity of the person or a third party; if the personal data is publicly available and the person has not expressly objected to the processing; or if the personal data comes from a register provided for by law that is accessible to the public or to any person with a legitimate interest, provided that the legal conditions for consultation in the specific case are met.

8. How long do we store personal data?

We generally process and store your personal data for as long as our processing purposes (see section 5), legal retention periods, and our legitimate interests, in particular for documentation and evidence purposes, require or as long as storage is technically necessary (e.g., for archiving or document management systems). If there are no legal or contractual obligations or technical reasons to the contrary, we generally delete or anonymize your data after the retention or processing period has expired as part of our regular procedures.

9. How do we protect personal data?

We take appropriate measures to protect the confidentiality, integrity, and availability of your personal data, to protect it from unauthorized or unlawful processing, and from the risks of loss, unwanted changes, and unauthorized disclosure or access. However, certain security risks always remain.

10. What are your rights regarding personal data?

You have certain rights regarding your personal data that we process. However, these rights may be subject to certain conditions or restrictions — we will inform you accordingly, if applicable, if you wish to exercise such a right against us:

— You have the right to access your personal data at any time. You also have the right to request the correction or deletion of personal data concerning you, as well as to object to its processing or request its restriction. In certain cases, you also have the right to receive certain personal data in a structured, common, and machine-readable format. To do so, please contact the person mentioned in section 2 above. As a rule, we will need to verify your identity (e.g., by means of a copy of your ID card).

— You have the right to lodge a complaint with the competent data protection authority (for Switzerland: the Federal Data Protection and Information Commissioner [FDPIC] [https://www.edoeb.admin.ch/en]).

— If the processing of personal data is based on your consent, you may revoke this consent at any time. Such revocation only has effect for the future, and we reserve the right to continue processing personal data on another basis in the event of revocation.

11. How can we change this privacy policy?

This privacy policy is not part of a contract. We may update it at any time.